SUSAN KERR CHRISTOFFERSEN

July 2021
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PROFESSIONAL APPOINTMENTS

University of Toronto, Rotman School of Management

2021 - present	Dean
2020 - present	C.D. Howe Institute Research Fellow
2018 - present	William A. Downe BMO Chair in Finance
2016 - present	Professor of Finance
2018 - 2021	Co-Director, TD Management Data and Analytics Lab
2015 - 2020	Vice Dean, Undergraduate and Specialized Programs
2010 - 2016	Associate Professor of Finance

McGill University, Desautels Faculty of Management

2007 - 2010	Associate Professor of Finance
2007 - 2010	Dawson & Leibovitch Faculty Scholar
2008 - 2010	MBA Academic Director and Core Coordinator
2005 - 2008	Canadian Securities Institute Research Foundation, Limited Term Professor
2002 - 2010	CIRANO Research Fellow
1998 - 2007	Assistant Professor of Finance (2 maternity leaves)

Copenhagen Business School

2007 – present	Visiting Scholar
2012 – present	FRIC member (Center for Financial Frictions)

Ministry of Finance, Canada

1991- 1993	Economist (International Economic Analysis Group

EDUCATION

University of Pennsylvania, The Wharton School

Ph.D., Finance 1998

Master, Finance 1997

University of British Columbia

Master, Economics 1991

Université Catholique de Louvain, CORE Institute, Belgium

Rotary Scholarship 1989-1990

Queen's University

Bachelor of Arts (Honours), Economics 1989

PUBLICATIONS

(1) Christoffersen, Susan E. K., 2001, "Why Do Money Fund Managers Voluntarily Waive Their Fees?" Journal of Finance, 56(3), 1117-1140.

(2) Christoffersen, Susan E. K. and David K. Musto, 2002, "Demand Curves and the Pricing of Money Management", Review of Financial Studies, 15(5), 1499 - 1524.

(3) Christoffersen, Susan E. K., Christopher Geczy, David K. Musto, and Adam V. Reed, 2005, "Cross-border Dividend Taxation and the Preferences of Taxable and Non-Taxable Investors: Evidence from Canada", Journal of Financial Economics, 78(1), October, 121-144.

- Bank of Canada Best Paper Award (2003)

(4) Christoffersen, Susan E. K., Christopher Geczy, David K. Musto, and Adam V. Reed, 2007, "Vote Trading and Information Aggregation", Journal of Finance 62(6), December, 2897-2929.

(5) Christoffersen, Susan E. K. and Sergei Sarkissian, 2009, "City Size and Fund Performance", Journal of Financial Economics 92(2), May, 252-275.

- Received BSI Gamma Foundation Award (2001)
- INQUIRE Selected Paper (2004)
- Outstanding Paper Award by the Swiss Finance Institute (2007)

(6) Christoffersen, Susan E. K. and Sergei Sarkissian, 2011 "The Demographics of Fund Turnover", Journal of Financial Intermediation 20(3), 414-440.

(7) Christoffersen, Susan E. K., Richard Evans, and David K. Musto, 2013, "What Do Consumers' Fund Flows Maximize? Evidence from their brokers' incentives", Journal of Finance 68(1), 201-235.

- Received Q-Group Award (2002)

- Cited in Council of Economic Advisers, Office of the US President, The effects of conflicted investment advice on retirement savings, February 23, 2015
- Response given to Department of Labor as part of policy debate on the fiduciary responsibilities of brokers, September 10, 2015

(8) Christoffersen, Susan E. K., David K. Musto, and Russ Wermers, 2014, "Investor Flows to Asset Managers: Causes and Consequences", Annual Review of Finance and Economics, Vol 6, p. 289-310.

(9) Christoffersen, Susan E. K. and Haoyu Xu, 2017, "Investor Attrition and Fund Flows in Mutual Funds," Journal of Financial and Quantitative Analysis 52(3), 867-893.

(10) Christoffersen, Susan E. K. and Mikhail Simutin, 2017, "On the demand for high-beta stocks: Evidence from mutual funds", Review of Financial Studies 30(8), 2596-2620.

WORKING PAPERS

(1) Christoffersen, Susan E. K., Donald Keim, David Musto, and Aleksandra Rzeznik, "Passive-Aggressive Trading: The Supply and Demand of Liquidity by Institutional Traders", resubmitted to *Review of Finance*.

(2) Christoffersen, Susan E. K., David Musto, and Bilge Yilmaz, "High Water Marks and Clawbacks in Competitive Capital Markets", 2017

(3) Christoffersen, Susan E. K., Erfan Danesh Jafari, and David Musto, "Why do institutions delay reporting their shareholdings? Evidence from Form 13F", Working Paper, June 2018.

SEMINAR PRESENTATIONS

2007-present

Villanova (2020-postponed); Bank of Canada (2020-postponed); Bank of England (2019); Rutgers (2019); Baruch College (2018); Nova School of Business and Economics, Lisbon (2017); University of Illinois Urbana-Champaign (2017); University of Virginia, McIntire (2016); Cambridge University (2015); University of Washington (2014); HEC Montreal (2014); University of Vienna, Gutmann Center (2014); University of Western Ontario (2014); University of Texas, Dallas (2013); Wilfred Laurier University (2013); Bank of Canada (2012); University of Melbourne (2012); McMaster University (2011); Georgia State University (2011); University of Illinois Urbana-Champaign (2011); Kepos Capital Hedge Fund (2011); Peking University (2011); Cheung Kong Graduate School of Business (2011); SUNY Buffalo (2010); Indiana University at Bloomington (2010); University of Colorado at Boulder (2010); University of Toronto (2010); Stockholm School of Economics (2008); Carnegie Mellon University (2008); Cornell University (2008); University of Cologne (2008); University of Copenhagen (2008); University of Lugano (2008); University of Vienna (2008); INSEAD (2007); Copenhagen Business School (2007); University of Zurich (2007); ESSEC (2007); Norges Handelshøyskole, (2007); BI Norwegian School of Management (2007); University of Aarhus (2007);

Prior to 2007

Board of Governors, Washington (2006); Penn State University (2006); American University (2005); Erasmus University (2005); University of British Columbia (2005); Goldman Sachs Asset Management (2004); Copenhagen Business School (2004); McMaster University (2004); Queen's University (2004); Tilburg University (2004); Erasmus University Rotterdam (2004); University of Amsterdam (2004); University of Alberta (2004); University of Houston (2004); University of Toronto (1998, 2003); Bank of Canada (2003); Copenhagen Business School (2002); Laval University (2002); University of Calgary (2001); HEC Montreal (2000); Arizona State University (1998); Federal Home Loan Mortgage Corporation (1998); Goizueta Business School, Emory University (1998); London Business School (1998); McGill University (1998); Ohio State University (1998), Securities Exchange Commission (1998); University of California at Davis (1998)

CONFERENCE PAPER PRESENTATIONS

2007-present (* denotes presented by co-author)

MHF Conference at Lancaster (Keynote, 2019); EFA Meetings (2009, 2014, 2018); FRIC conference (2018); AFA Meetings (2007, 2015*, 2016**Error! Bookmark not defined.**, 2017**Error! Bookmark not defined.**); 27th Annual Financial Economics and Accounting Conference (2016); FIRS Conference (2009, 2015**Error! Bookmark not defined.**); FMA Meetings (2009, 2010, 2014**Error! Bookmark not defined.** 2 papers); International FMA Meetings**Error! Bookmark not defined.** (2014), Canadian Law and Economics**Error! Bookmark not defined.** (2014), LSE, Paul Woolley Center 7th Annual Conference**Error! Bookmark not defined.** (2014), FRIC Conference, Copenhagen Business School (2012, 2014), Midwest Finance Association (2014, 2015); National Business and Economics Society, 2014; Mathematical Finance Days, HEC Montreal (2010, 2013); Clute Institute International Academic Conference (2013); 7th Annual Conference on Empirical Legal Studies (2012); SIFR Mutual Fund Conference, Stockholm (2012); NFA Meetings (2009, 2010, 2012); Oxford Hedge Fund Conference (2011); UBC Summer Conference (2011); Canadian Law and Economics Association**Error! Bookmark not defined.** (2011); Professional Asset Management Conference – RSM Erasmus University (2009, Invited speaker); Leading Lights in Mutual Fund Research, Cass Business School (2009, Invited speaker); HEC/McGill Finance Symposium (2009); Cambridge-Wharton Finance Seminar**Error! Bookmark not defined.** (2009); Journal of Investment Management Conference, NYSE**Error! Bookmark not defined.** (2008); ISCTE-NOVA Conference Portugal (2008); Finance Symposium, Copenhagen Business School (2008); European Winter Finance Conference, Norway (2008); Academic/Practitioner Conference on Mutual Funds ICI (2008); CAESAREA 4th Annual Conference, IDC, Israel**Error! Bookmark not defined.** (2007); Institutional Investor Conference, UT Austin (2007); Society of Quantitative Analysis**Error! Bookmark not defined.** (2007); Shmuel Kandel Memorial Conference**Error! Bookmark not defined.** (2007); Swiss Finance Institute 2nd Annual Conference (2007, Prize winner); WFA Meetings (2007)

Prior to 2007 (*denotes presented by co-author)

AFA Meetings (2006); FMA Meetings (2006); 16th Annual Utah Winter Conference (2006); Weil, Gotshal & Manges Roundtable at Yale Law School (2006); Institute for Law and Economics at University of Pennsylvania (2006); 3rd Annual Conference on Corporate Finance (2006); Annual Conference on Market Structure and Market Integrity, Toronto (2006); Academic/Practitioner Conference on Mutual Funds ICI (2006, 2004); SCSE Conference, Charlevoix (2005); International Conference on Finance, University of Copenhagen (2005); Mitsui Life Conference, U. Michigan (2005); Q-Group Meetings Carlsbad**Error! Bookmark not defined.** (2005), NFA Meetings (2005, 2004); INQUIRE Conference, Prague (2004); CEPR-SITE Conference on Corporate Governance, Stockholm (2004); 15th Annual Finance and Accounting Conference (2004); JFE and University of Oregon Conference on Delegated Portfolio Management (2004); NFA Meetings (2003); 9th Annual Finance and Accounting Conference (1998); HEC Optimization Days (2003); BSI Gamma Conference (2002, Award Recipient); CIRANO mutual fund conference (2002); Academic/Practitioner Conference by Pension Investment Association of Canada (2002); Colloquium on Risk Based Capital, Harvard Business School (2002); Academic/Practitioner Conference on Mutual Funds ICI (2000, 2002); EFA Meetings (2001, 2002); WFA Meetings (1997, 1998)

CONFERENCE PARTICIPATION

Discussant

IDC-Herzliya Conference (2020); AIM Investment Institutional Conference, Austin TX (2019, 2010); NFA Meetings (2010, 2012, 2014, 2019); AFA Meeting (2009, 2018, 2019); World Symposium on Investment Research, McGill (2018); Center for Economic Analysis of Risk (2018); EFA Meetings (2009, 2011, 2013, 2015, 2017); SFS Calvacade (2016); FRIC Conference (2015); Ben Graham 4th annual conference, Ivey Business School (2015); International Financial Markets, Bank of Canada (2012); FIRS Conference (2012); 3rd Annual Behavioral Finance Conference, Queen's University (2012); ICPM Discussion Forum (2011); WU Gutmann Conference, Vienna (2011); Miami Behavioral Finance Conference (2011); Professional Asset Management Conference, Erasmus University (2011); Household Portfolio-Choice and Financial Decision-Making, Wharton (2010); Financial Management Association Meetings (2006); Annual Conference on Market Structure and Market Integrity (2006); WFA Meetings (2005, 2006); McGill's Conference on Global Asset Management (2005); UBC Summer Conference (2005); NFA Meetings (2003); EFA Meetings (2002); Distribution and Pricing of Delegated Portfolio Management (2002)

Chair

AFA Meetings (2022, 2009, 2006); Doctoral Track Chair NFA Meetings (2018); EFA Meetings (2015); WFA Meetings (2015); WU Gutmann Conference, Vienna (2015); NFA Meetings (2013, 2012); NFA Meetings (2006, 2003); CIRANO Finance Days (2003, 2002); Global Perspectives on Economics, Politics, and Investments, McGill University (1999)

Organizing Committee

CIRANO Finance Day on Mutual Funds, 2002 and 2003; Bank of Canada, Liquidity Risk in Asset Management: Financial Stability Perspectives, Sep 2015; Risk Management and Financial Innovation Conference, Mt. Tremblant, March 8-10, 2019

EXPERT PANEL AND INDUSTRY PRESENTATIONS

Invited speaker and panelist “Promise and Perils of Passive Investing” Capital Markets Institute (2018); Invited Panelist for Canadian Securities Administrators, Joint Forum on Financial Market Regulations (2015); MFIN Invited Speaker on Advisor Compensation (2015); Canadian Funds Summit, Invited Lecturer on Advisor Compensation (2015); Gutmann Center invited speaker for portfolio managers (2014); Rotman Finance Alumni Invited Speaker (2014); Rotman Donor Invited Speaker (2014); ETF University Roundtable Panel (2014); Moderator for Investment Panel, MFIN (2014); Risk & Return Canada, Toronto (2012, Invited Speaker); CFA Denmark (2011); Leading Lights in Mutual Fund Research, Cass Business School (2009); DeGroote Business Luncheon Series, TSX Research Center in Capital Markets (2007); 23rd Annual Risk Management Association conference on securities lending (2006); AMBAQ Meetings (2006); The Crossborder Group, Corporate Secretary Magazine (2006); Northwind Professional Institute Invitational Forum, Langdon Hall (2005); RGO Grantsmanship Meeting, Annual Invited Speaker on FQRSC (2003 – 2005)

ACADEMIC SERVICE

Board Appointments

Rotman MFRM Advisory Board (2016-2020); Rotman MMA Advisory Board (2018-2020); Rotman Dean’s Advisory Board (2015-2020); TD Management Data and Analytics Board (Co-Chair); European Finance Association, Board Member, 2015-2018 (Elected member); WU Vienna University of Economics and Finance, Gutmann Center Board, 2010 – 2017; PerCent Pension Research, Copenhagen Business School, 2014 – 2017; Terry Fox Humanitarian Award Program 2003 – 2018

Editorial Boards

Associate Editor, Financial Review, 2021 – 2023; Associate Editor, Review of Financial Economics, 2019-2021; Editorial Board, Financial Analysts Journal 2018-2020; Associate Editor, Financial Management 2014-2017 (Received Associate Editor of the Year Award in November 2016); Editorial Board, Canadian Journal of Administrative Sciences, 2012-2018; Associate Editor, Journal of Financial Services Research, 2007 - 2017

Journal Refereeing

Journal of Finance, Review of Financial Studies, Journal of Financial Economics, Journal of Financial and Quantitative Analysis, Management Science, Review of Financial Economics, Review of Finance, Journal of Banking and Finance, Journal of Financial Services Research, Journal of Financial Research, Canadian Journal of Administrative Sciences, Canadian Journal of Economics, Canadian Journal of Developmental Studies, Journal of Financial Intermediation, Quarterly Journal of Economics and Finance, Emerging Markets Finance and Trade, European Financial Management, Empirical Economics, Financial Review, Canadian Journal of Administrative Science, Financial Management, National Tax Journal

External Evaluator: Books and Textbooks

4th Cdn Edition: Corporate Finance by Ross, Westerfield, Jaffe, and Roberts; Revised Solutions Manual for *3rd Edition: Corporate Finance* by Ross, Westerfield, Jaffe, and Roberts; *2nd and*

3rd Edition: Financial Institutions Management by Anthony Saunders and Hugh Thomas; *Canadian Edition: Financial Markets and Institutions* by Frederic Mishkin and Stanley Eakins; *Management of Financial Intermediaries* by N. Arshadi; *The Fund Industry: How Your Money is Managed* by Bob Pozen and Theresa Hamacher

External Evaluator: Granting Agencies

FCAR (FQRSC) individual, team, and theme grants 2002-2005; SSHRC individual and team grants 2002, 2007, 2009, 2011, 2012, 2013

Conference Program and Scientific Committees

Western Finance Association (WFA) Meetings 2006 – present; American Finance Association (AFA) Meetings 2006, 2009, 2022; Northern Finance Association (NFA) Meetings 2006 – 2015, 2018-present; Financial Management Association (FMA) Meetings 2006 – 2015; European Finance Association (EFA) Meetings 2009 – present; Finance Down Under Committee, 2012 – 2019; Napa Conference Committee, 2013 – 2017; Financial Intermediation Research Society Meetings, 2012 – 2019; Midwest Finance Association (MFA) Meetings 2013 – 2018; FIRCG Conference, Melbourne Business School and UNSW January 2016 and 2017; IWFSAS Conference, University of Victoria August 2016, 2017, 2019; AIM Investment Conference UT Austin 2016, 2019

Research Grants

FCAR (Quebec) New Researchers Individual Grant (2001-2004); FCAR (Quebec) Individual Equipment Grant (2001); SSHRC (Canada) Individual Grant (2001-2004); Individual Research Development Fund, McGill University (2001); IFM2 Individual New Researchers Grant (2003-2006); SSHRC (Canada) Individual Grant (2005-2008); IFM2 Team Grant, CIRANO (2004-2007); Limited Term Professorship of the Canadian Securities Institute Research Foundation (2005-2008); IFM2 Individual Researchers Grant (2007-2010); SSHRC Individual Grant, Special Competition for Management, Business, Finance (2008-2011); Member of Global Risk Institute Grant with several other faculty members (2011-2016); SSHRC Insight Grant (Principal Investigator, 2013-2018)

Committees

Rotman School of Management, University of Toronto (2010-present)

University Academic Continuity Working Group (2020); University Space Planning ACAS (2020); Rotman's Business Continuity Working Group (2020); Executive Leadership Committee (2015-20); Undergraduate Program Committee (2015-20); Specialized Graduate Program Committee (2015-20); Examiners' Committee (2015-20); Finance Recruiting Committee, Rotman St. George (2011-2012, 2014-2015, 2019-2020); ICPM Research Committee (2011-2013); Executive Committee, Elected Member (2013 - 2015); Divestment Committee, University of Toronto (2014 - 2015); Faculty and Staff Fundraising Campaign (2013-2015); Representative for external review of Rotman Commerce and MFRM programs (2015); Dean Selection Committee, Rotman (2013-2014); Undergraduate Scholarship Selection Committee (2014); Emerging Leaders Award Nominating Committee (2014); Dean's Strategic Consultative Committee (2014); Finance Recruiting Committee, UTM (2012-2013); Admissions and Standards Committee (2012-2013); Tenure Committee (2012); Internal teaching evaluation report (2012); Internal Research Committee Report (2012); Internal Progress Review Letter for Tenure (2012); PSO Taskforce Committee Member (2011)

Desautels Faculty of Management, McGill University (1998-2010)

Data Management, Finance Area (2009); Master Program Committee (2006-2007); MBA Redesign Committee (2005-2006); MBA Core Redesign (2006-2007); MBA Advisor (2005-2007); Case Competition Judge McGill Management Leadership Seminar (2005); Finance Recruiting Committee; Elected member of the Chairs Development Committee (2002-2004); Master Program Committee (2003-2004); MMICC Case Competition Judge (2004); Academic Review Committee for the MBA Program (2004); Undergraduate Program Committee (2000-2003); BCOM Advisor (1998-2003); Chair of the Pedagogy Excellence Program (PEP) Steering Committee (2000-2001); Dean Selection Committee (1999-2000); Library and Data Management Committee, McGill University (1998-2000)

External Reviews

UTQAP, External Review for Rotman; AACSB Accreditation, Rotman; CPA Accreditation, External Review for Rotman Commerce and GDPA programs; External Reviewer for Desautels Faculty of Management

TEACHING

Teaching Awards

Desautels Faculty of Management Teaching Award for Graduate Teaching, 2006; Nominated for the Principal's Prize for Excellence in Teaching, McGill, 2006 and 2007; Honorable Mention for the Principal's Prize for Excellence in Teaching, McGill, 2007; Student teaching award for instructors teaching in Rotman MBA Electives 2014 (Runner-up); Rotman's Teaching Award, 2011, 2012, 2013, 2014, 2015, (No teaching in 2016), 2017, 2018, 2019

Independent study advisor

7 BCOM independent studies (McGill); 10 MBA independent studies (McGill); 2 MBA independent studies (Rotman); 15 MFRM industry projects (Rotman)

Courses Taught

Capital Markets and Institutions FINE442 (McGill BCOM, 1999-2003); Money and Capital Markets FINE645 (McGill MBA, 1999, 2001-2002); Finance 2 FINE342 (McGill BCOM, 2003-2005); Elements of Modern Finance 2 FINE642 (McGill MBA, 2004-2007); Managing Resources MGCR651 (Integrative core course McGill MBA, 2008-2009); Financial Institutions and Capital Markets RSM2304 (Rotman MBA, 2010-2014); Financial Markets RSM230 (Rotman BCOM, 2012 – 2013); PhD topics course –1 session (Rotman PhD, 2012-2015, 2018, 2019); Financial Markets, Risk and Institutions (Rotman MFRM, 2016 - 2019)

Executive Training

Accounting and Finance for Non-Financials, McGill Executive Education (2002-2007); International Master Program in Management, McGill University (2000-2006, 2008);

McGill/HEC EMBA Program, November (2008-2009); IFM2 Practitioner Workshop, Montreal (2010); SIBOS University, Swift International Banking Operations Seminar (2014); Osgoode Professional Development Speaker, Toronto (2015)

PHD STUDENTS

Supervisor or Co-supervisor

Fabrice Rouah (McGill University, 2003-2007, Placement: State Street, Boston); Lei Lu (McGill University, 2005-2007, Placement: Shanghai University); Ya Tang (McGill University, 2006-2010, Placement: Peking University, Beijing); Li Zhang (McGill University, 2007-2010, Placement: Shanghai University); Saurin Patel (McGill University, 2008-2013, Placement: Ivey Business School); Haoyu Xu (Rotman, 2011-2016, Placement: Shanghai University of Finance and Economics); Erfan Danesh Jafari (Rotman, 2011-2016, Placement: Federal Reserve Board of Governors, Washington DC); Aleksandra Rzeznik (Copenhagen Business School, 2014-2016, Placement: Vienna University of Economics and Business); Anna Rozhkova (Rotman, 2018-current)

Thesis Chair or Committee member

Sylvia Banaclocher (McGill, 2001); Irwin Ma (McGill Phase I, 2001); Rong Jin (McGill Phase I, 2003); Julie Dergal (Rotman, Chair, 2011); Chi Liao (Rotman, 2014, Placement: University of Manitoba); Aazam Virani (Rotman, 2014, Placement: University of Arizona); Yan Xiong (Rotman, 2019, HKUST); Eyub Yegen (Rotman, 2021, HKUST)

External Evaluator

Guillermo Baquero (Erasmus, 2002); Nizar Loukil (HEC Montreal, 2002); Alexei Goriaev (Tilburg University, 2002); Frank Coggins (Laval University, 2004); Hela Dahen (HEC Montreal, 2006); Houcem Smaoui (Laval University, 2006); Frederik Vinten (Copenhagen Business School, 2007); Blake Phillips (University of Alberta, 2009); Xiaolu Wang (University of Toronto, 2010); Bo Li (Queen’s University, 2013); Markus Broman (York University, 2015); Kirsty McLaren (Cambridge, 2015); Terry Zhang (UBC, 2019)

MEDIA RELATIONS

Research in Public Policy

Morningstar: The magazine of independent research for the world’s financial professionals, “Addressing Conflicts of Interest” (Spring, 2019); Council of Economic Advisers, Office of the US President, The effects of conflicted investment advice on retirement savings (February 23, 2015); Comments to Department of Labor on Proposed Conflict of Interest Rule (September 10, 2015); Canadian Securities Administrators independent report on broker fees (October 22, 2015)

Newspaper Quotes

Boston Globe, Jan 2001; Globe and Mail Sep 2001; Objectif Conseillé, July/August 2003 issue; National Post, Jun 2003; The Toronto Star, Dec 2004; The Gazette, May 2010; Toronto Star, Oct 2010; Globe and Mail Advisor, February 2017

Television and Radio Interviews

CBC Television (Feb and Nov 2001, Jun Jul and Oct 2002, Feb 2003, May 2005) ; Radio Canada (Nov 2003, Dec 2003); Report on Business (Nov 2005 and Apr 2006); Global Television (Nov 2006 and Jun 2009); CBC Radio: (Nov 2006, Jun and Jul 2009, Nov 2014); The Agenda with Steve Paiken (Panelist, Sep 2013)

Media Training

Bloomberg New Voices Training, December 2018

Research in the Media

New York Times, Apr 2015; New York Times, Mar 2015; Are You Getting the Best Retirement Advice? Investopedia, Mar 2015; New York Times Feb 2015; US Daily Finance, Feb 2015; Barron’s, Feb 2013; Wall Street Journal, Feb 2013; New Study Links Poor Fund Performance with Fees, FutureAdvisor, Feb 2013; Metro News, Feb 2013; Research shows another reason for fee transparency, InsideHalton, Feb 2013; Globe and Mail, Jan 2013; Broker Fees from mutual funds affect advice, Science Daily, Jan 2013; Canadian Securities Institute Research Foundation Anniversary Journal, 2012; All About Alpha. Com, Jan 2009; Chicago Tribune, Jun 2008; Hedge Research Perspective, Dec 2008; Canadian Business Review (Globe and Mail), Jan and Feb 2007; Canadian FundWatch, The Fund Observer, Mar 2007; Dow Jones MarketWatch, Jun 2007; Wall Street Journal, Jun 2007; The Crossborder Group Magazine, Jun 2006; Money Management Executive, Apr 2006; New York Times, Apr 2006; International Herald Tribune, Mar 2006; CBS Marketwatch from Dow Jones Mar 2006; Bloomberg Newswire, Feb 2006; IR The Fund Observer, Jan 2005; Pensions and Investments (Front page article), Apr 2005; New York Times, Aug 2003; International Herald Tribune, Aug 2003; Wall Street Journal, Nov 2001;

CITATIONS AND IMPACT FACTORS

ISI Web of Knowledge (Published papers): 245 Citations (Usage count 185 since 2013) (April 2018)

Google Scholar (Published, forthcoming, and working papers): 1443 Citations (612 since 2016) (January 2021)

Research Gate RG Score: 21.44 (>75% researchers); h-index: 14 (January 2021)